

# Executive Officer's License Application for Transfer

Nebraska Department of Banking & Finance

[www.ndbf.ne.gov](http://www.ndbf.ne.gov)

1526 K Street, Suite 300  
Lincoln, NE 68508-2732  
402-471-2171

Section 8-139 of the Nebraska Banking Act provides that "No person shall act as an active executive officer of any bank until such bank shall apply for and obtain from the Department a license for such person to so act."

## PART I: This section to be completed by the Applicant Bank.

Main Office Name

Street Address

City

County

State

Zip Code

Applicant Bank Name and Address to mail license to if different than above:

Name

Street Address

City

County

State

Zip Code

Applicant Bank Official to Contact Regarding this Individual Application:

Name

Title

Telephone

Do you wish email notification of approval? Yes ☐ No ☐

Email Address

This application is for Class I ☐ or Class II ☐ Executive Officer's License

**Please attach the following to the completed application.**

- ☐ A resume for the Individual Applicant referencing recent work activities, current as of the date of the application.
- ☐ A completed United States Citizenship Attestation Form is required if the transferring EOL is dated prior to January 15, 2010. [Click here](#) for the form.
- ☐ A check in the amount of \$50.00 made payable to the Nebraska Department of Banking and Finance.

For Department Use Only

### AUTHORITY

I, \_\_\_\_\_ (President, CEO, Executive VP, or Board Member) of the Applicant  
*Name of Signatory (printed or typed)* *Circle Title Above*

Bank for which this Individual Application pertains, requests the issuance of a license to the Applicant named herein, conveying the authority to act as an active executive officer of the Applicant Bank.

Signed this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

Signature of President, CEO, Executive VP, or Board Member

**PART II: This section to be completed by the Individual for whom the Application is made.**

Attach additional sheets as necessary to fully answer any question.

**Biographical Report:**

Individual Name

Street (Home Address)

City

County

State

Zip Code

Last 4 digits of Social Security Number

Month and Date of Birth (No year requested)

Preferred Telephone Contact Number

Applicant Email Address

Existing Executive Officer License Number \_\_\_\_\_ Existing Class (I or II) \_\_\_\_\_

Date Executive Office License was originally issued \_\_\_\_\_

**Bank Location Where Applicant Will Work:**

Street Address

City

County

State

Zip Code

**Employment Record:**

1. If this Executive Officer's License application is for a Class II, do you have two years of experience in the making of loans or investments? ☐ Yes ☐ No  
If "Yes", give details of your experience. If the attached resume details lending experience, responding with "see attached" will be sufficient.  
If "No", provide the Bank's training program and requested lending restriction.

2. Have you ever been dismissed or asked to resign from any past employment, including receiving an Other Than Honorable discharge from military service? ☐ Yes ☐ No  
If "yes," complete the following:

Employer's Name, Address, Telephone	Position	Date of Discharge	Explanation *Attach additional page if needed for full explanation*

3. List the duties and responsibilities you will have at the Applicant Bank.

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**General Information:**

1. Have you or any business interest of yours undergone bankruptcy? ☐ Yes ☐ No  
If "yes," give full details including place and date.
2. Have you ever been the subject of a garnishment? ☐ Yes ☐ No  
If "yes," give full details including place and date.
3. Have you ever been turned down or canceled on a personal, fidelity, or surety bond? ☐ Yes ☐ No  
If "yes," give name of bonding company and date of rejection or cancellation.
4. To your knowledge, are you, or have you ever been, the subject of an investigation regarding any professional license? ☐ Yes ☐ No  
If "yes," give full details.
5. Have you been arrested and/or convicted since the original issuance of your Executive Officers License? ☐ Yes ☐ No  
If "yes," give full details.

**ATTESTATION**

I certify that the information contained in this application is true, correct, and complete, and is current as of the date of this submission. I acknowledge that any misrepresentation or omission of a material fact constitutes fraud in the inducement and may subject me to legal or administrative sanctions.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

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*Signature of Individual Applicant*